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P.O. BOX 1450  
Alexandria, VA 22313-1450  
Mail-Stop: PATENT APPLICATION

UTILITY PATENT APPLICATION TRANSMITTAL—NO FEE

22264 U.S. PTO  
10/686945  
101603

Sir:

Transmitted herewith for filing is the

- ☐ patent application of  
☒ continuation application of USSN 09/704,469  
☐ continuation-in-part patent application of

- ☐ divisional application of  
☐ \_\_\_\_\_

Inventor(s)/Applicant Identifier: Erik Karrer et al.

For: ANTIBODY DIVERSITY GENERATION

- ☒ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.
- ☒ This application claims priority from each of the following Application Nos./filing dates: USSN 09/704,469, filed November 1, 2000; USSN 60/176,002, filed January 12, 2000; and USSN 60/163,370, filed November 3, 1999; the disclosures of each of which are incorporated by reference.
- ☒ Please amend this application per the enclosed Preliminary Amendment

Enclosed are:

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> <u>109</u> pages of the description (including specification, claims and abstract) | <input checked="" type="checkbox"/> Signed Declaration (copy from parent application)              |
| <input checked="" type="checkbox"/> <u>88</u> number of claims   | <input checked="" type="checkbox"/> Power of Attorney (copy from parent application)               |
| <input checked="" type="checkbox"/> Preliminary Amendment (16 pp.)   | <input checked="" type="checkbox"/> Assignment (copy from parent application)                      |
| <input checked="" type="checkbox"/> Sequence Listing (3 pp.; copy from parent application)                             | <input checked="" type="checkbox"/> Statement under 37 CFR §3.73(b) (copy from parent application) |
| <input checked="" type="checkbox"/> Request to use Sequence Listing CRF from Parent Application                        | <input checked="" type="checkbox"/> Application Data Entry Sheet <u>4pp</u>                        |
|  | <input checked="" type="checkbox"/> Patent Application Filing Acknowledgement postcard.            |

Pursuant to 37 CFR §1.53(f),  
Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.

*Joanne R. Petithory*

Joanne R. Petithory  
Reg. No.: 42,995  
Applicant's Representative

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Attorney Docket No. 0241us320  
Express Mail Label No. EV 332 430 565 US  
Date of Deposit: October 16, 2003  
I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail-Stop: Patent Application  
By: *Joanne R. Petithory*  
Joanne R. Petithory

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(Modified) PTO/SB.21 (6-98)  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/704469        |
| Filing Date            | November 1, 2000 |
| First Named Inventor   | Erik Karrer      |
| Group Art Unit         | Unassigned       |
| Examiner Name          | Unassigned       |
| Attorney Docket Number | 02-102020US      |

Total Number of Pages in This Submission

5

## ENCLOSURES (check all that apply)

|   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br>receipt acknowledgment postcard |
|---|--|---|

**Authorization to Charge Deposit Account**  
Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | Jonathan Alan Quine Reg. No. 41,261 The Law Offices of Jonathan Alan Quine |
| Signature               |  |
| Date                    | March 8, 2001  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **March 8, 2001**

|                       |                   |
|-----------------------|-------------------|
| Typed or printed name | Alexandra Allison |
| Signature             |                   |
| Date                  | March 8, 2001     |